

ENTRY ANALYSES AND DEVELOPMENT OF EVALUATION PRINCIPLES

In whose Backyard? Voluntarism, Compensation and Participation in Siting of Nuclear Waste Repositories

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The problem's dimensions



- Socio-technical and political complexities and challenges
- Civilian use of N-power neglected the back end of nuclear fuel cycle
- In the past positivistic belief that science / engineering can solve the problem and top-down policy approaches
- Socially strongly politicized problem associated with conflicts
- Growing demand for democracy and public participation
- Few if any models
- Limitations of transferability of experiences internationally
- Uncertainties (e.g. re. costs, safety, public response..)
- Search for a solution influenced by a country's political, cultural, historical and geological factors



Siting waste repositories: a typical NIMBY case?

- Processes leading to site selection unforeseeable and conflict ridden
- Technical, geological and socio-economic issues are interlocked
- Diverging preferences & values and protection of self-interests
- Everything seems to speaks against such facilities:
- Residents health concerns, real estate prices, stigma as a N dump, etc. (from the "right to know" to the "right to object")
- Energy companies costs and financial burden (public bads/ private goods)
- Local authorities, Administration/ Ministries / Parties conflict ridden political procedures / difficult political solutions / elections
- Civil society ethical grounds/ intra and intergenerational equitybut

local opposition should not be equated with NIMBY

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A quick look at the NIMBY literature

A plethora of scholarly articles

- defining and classifying various forms of NIMBYism
- or providing a critique of the NIMBY syndrome or even the term NIMBY
- focused on the psychological, social, territorial origins of NIMBY
- using another lens to understand NIMBY behaviors, e.g. "place attachment" and "place identity" (Devine-Wright, 2009).
- Strand of literature (in the 1990s) specifically engaged with NIMBY opposition to nuclear power and the siting of toxic or nuclear waste repositories, esp. in the USA and the UK.





NIMBY or IMBY?

- Many authors use the term NIMBY without a clear definition, empirical support or conceptual understanding (critique of Luloff et al.,1998 and Wolsink, 2006)
- in the media and politics: NIMBY as a label used regularly and falsely regardless of the reasons for the rejection of large-scale projects or for locally unwanted land use (LULU)
- Role of socio-cultural values
- ✓ Mistrust (in technology, institutions, nuclear industry, etc.)
- ✓ Participation
- ✓ Low transparence
- Legacy of past decisions; nuclear history of a country
- IMBY (Yes,) In my back yard: Voluntarist approaches





In whose backyard?

- IMBY attitude: willingness to host new facilities or even the desire for housing them (expression of interest)
- Such an attitude relates to:
- ✓ real or perceived tangible or intangible external effects of new facilities
- ✓ possible spill over into the community
- ✓ benefits for a group of individuals or for a locality
- Voluntarism buttressed through compensation (cash incentives, social benefit measures and community empowerment measures)
- Some voluntary processes include the right of veto and to withdraw from the process within a certain period of time.
- In some countries, right of veto ensured by law or agreements between communities and the N-industry or the respective governments
- Trust as significant factor preparedness of the host communities to delegate negotiation





From volunteering to partnering

- Different forms of voluntarism, based on:
- so-called staged volunteer process leading to partnership arrangements with hosting communities with right of withdrawal (UK - Cumbria; 2013) and veto rights (Sweden)
- decisions with strong local community support with veto rights for municipalities till the EIA (Finland)
- ✓ final top down decision making after consultative processes (débat public) with the affected communities without veto rights (France)
- Partnership based on "empowering" approach, which contributes to transparency and enhances accountability in decision-making (CoRWM).
 Partnership includes :
 - ✓ right to veto
 - collaboration with municipalities
 - community empowerment measures
 - community benefits (rewards, grants, community benefits, etc.)

NEA rhetoric: Partners interact (directly or indirectly) without feeling excluded by a national process over which they have little control





Siting, public opinion and participation in comparison

SE: Consensus democracy

- Siting process for a repository in the mid-1970s
- It met local resistance with a collapse in 1986. Restart on a voluntary process.
- Two communities in North SWE did not proceed after rejection in local referenda in the 1990s.
- Search ended in two volunteering N communities, Oskarshamn (NPP) and Östhammar (Forsmark NPP).

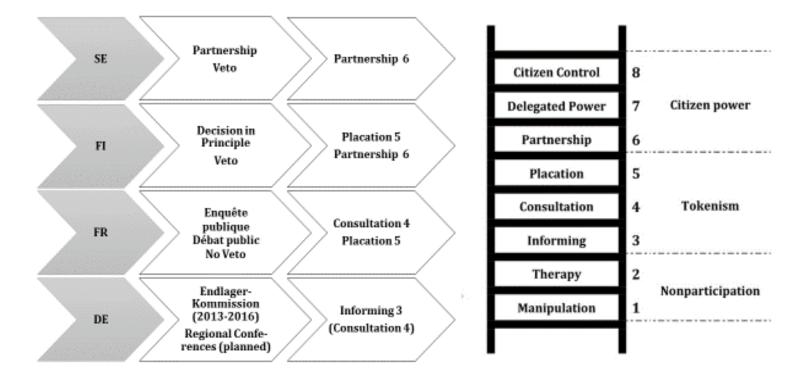
FI: Trust in the authorities and technology

- Investigations on three sites and interim reports released in 1996
- EIA 1997-1999
- strong municipal consensus and support
- no tradition of radical NGOs; limited demand for participation
- strong trust in STUK, experts and nuclear industry
- FR: Tradition of centralism
- ...but also of grassroots activism
- Local information and monitoring committee (CLIS)
- National Commission of Public Debate (debates 2005-06; 2013)
- Consensus conference 2014





Inter-country comparison of forms of participation according to Arnstein's Ladder

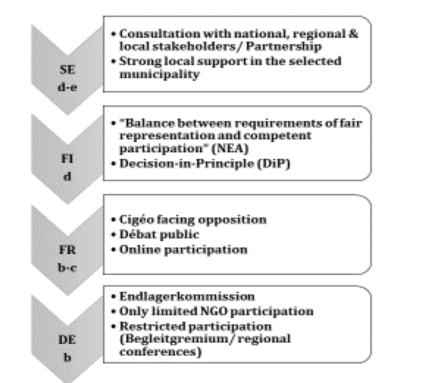


Source: Di Nucci/Brunnengräber/Isidoro Losada: From the "right to know" to the "right to object" and "decide".PNUCENE 2017 (100). 316-325.





Inter-country comparison of forms of participation according to Wiedmann and Femers' ladder



Public partnership in the final decision	f	
Public participation in assessing risks and recommending solutions	e	
Public participation in defining interests, actors and determining the agenda	d	increasing participation
Public right to object	c	reasing 1
Informing the public	ь	inc
Public right to know	a	

Source: Di Nucci/Brunnengräber/Isidoro Losada: From the "right to know" to the "right to object" and "decide". PNUCENE 2017, 100 (c.) 316-325.





Behind IMBY

- Is the promise of economic benefits an incentive for an IMBY response by local communities?
- How far can concern about the potential risks connected with repositories be neutralized by the prospect of economic incentives and side benefits (e.g. infrastructure, jobs...) offered to host communities?
- How do potential host communities cope with the "bribe effect"?
- Is this always an obstacle to successful siting procedures or can compensation be considered legitimate?
- What role is played by trust in institutions and in the nuclear industry?
- What are the characteristics of the communities willing to accept a repository in their vicinity?



The hosting communities

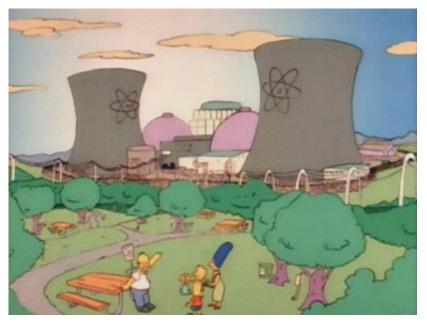
- SE: Östhammar (4,500 inhabitants)
 Population density: 1,205/km2
- "nuclearised" community (NPP Forsmark and Storage facility SFR Forsmark) major employers of the area
- FI: Eurajoki/Olkiluoto (5,924 inhabitants) Population density: 17.15/km2
- nuclearised and prosperous
- FR: Bure (82 inhabitants). Population density :5.1 inhabitants/km2
- ✓ rural, isolated and neglected.
- ✓ € 60m /year to support local community projects
- UK: Sellafield/West Cumbria; heart of the UK N industry (c 12,000 direct jobs).
 Highest radioactivity concentration. Stigma also for reprocessing foreign waste
- Allerdale 97,000 inhabitants. Population density: 78/ km2
- District Copeland: 71,500 inhabitants.
 Population density: 97 / km²





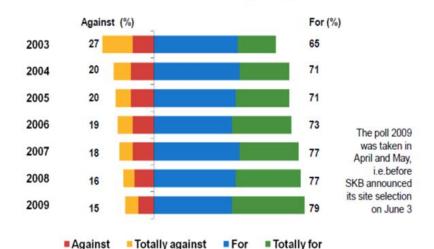
Behind IMBY: a taxonomy of the hosting communities

- "Nuclear communities"
- "Nuclear Oases" (Blowers 1999; 2016)
- "Communities with industry awareness" (NEA)
- "Springfield Communities"



ENTRIA

Strong local support for the final repository in Östhammar municipality







Factors that may have led to decisions based on voluntarism

- a. unequal power relations, economic marginalization and geographic isolation of the region, processes of "peripheralization" (Blowers' "nuclear oases"),
- b. economic interests and dependencies from the nuclear industry and the resulting value chain (nuclear communities),
- **c.** Nuclear activities as an integral part of community identity. Strong identification with N (NEA "communities with industry awareness")
- d. As in c) plus pragmatic acceptance, "cultural adaptation" to the nuclear industry, characterized by a sort of shared understanding that has gradually evolved over decades ("Springfield communities").
- c), d) Residents have developed a close relationship with N industry and trust them. Shared understanding and support for N as an integral source of local development. This support is a prerequisite for their social (and cultural) integration.





"Pure", "bought" or "blackmailed" voluntarism?

FL and SE sites: "internally grown, progressively built up" voluntarism. based on:

- the characteristics of the "Nuclear Communities" / "Springfield Communities" (SE: SKB and stakeholders interaction, local office with SKB-staff)
- ✓ trust in S&T, institutions and local nuclear industry (FL)
- Bure, West Cumbria and the Konrad mine (DE): "blackmailed voluntarism"

based on:

- ✓ the characteristics of Blowers' nuclear oases
- ✓ physical and political–economic peripherality
- ✓ paramount cases of environmental injustice and economic blackmail
- Cumbria: "political alienation intensified by the reprocessing of not only UK but also foreign spent nuclear fuel at Sellafield" (Bickerstaff 2012).



Lessons learnt

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- Voluntary processes do not always provide the silver bullet.
- Fundamental rejection of the principle of voluntarism is as unjustified as a fundamental rejection of compensation
- Interaction between politics and civil society not enough. Citizens want to influence political decisions
- Support of potentially hosting communities (IMBY) cannot be exclusively made dependent on compensation
- Key conditions for an unambiguous approach: access to information, early involvement of the affected population and stakeholders, openness for unforeseen results, inclusiveness of the process (and compensations?)
- Transparent procedures may offset the perception of compensations as an immoral offer or bribery
- Trust in the institutions and preparedness to delegate negotiation agreements to them – perceived to be in community's interest
- Provision of resources to enhance public engagement (capacity building), improve decision-making and increase public confidence
- Local involvement difficult, but necessary. NGOs not the public voice but a resource in the process to enhance transparency.



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